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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paper Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. ANSMITTAL **Application Number** 10/647,203 Filing Date August 21, 2003 FORM HON (to ballsed for all correspondence after initial filing) First Named Inventor Franz, et al. Group Art Unit Unassigned RADEM **Examiner Name** Unassigned Total Number of Pages in This Submission Attorney Docket Number 025.0362.US.UTL **ENCLOSURES** (check all that apply) **Assignment Papers** After Allowance Communication Fee Transmittal Form (for an Application) to Group Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Amendment / Reply Licensing-related Papers (Appeal Notice, Brief, Reply Brief) Petition After Final Proprietary Information Petition to Convert to a Status Letter Affidavits/declaration(s) Provisional Application Power of Attorney, Revocation Other Enclosure(s) (please Extension of Time Request Change of Correspondence identify below): Address **Express Abandonment Request** 1 U.S. Patent Terminal Disclaimer 2 Other Documents Information Disclosure Statement Postcard Request for Refund Certified Copy of Priority CD, Number of CD(s) Document(s) Response to Missing Parts/ Remarks Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 PATENT TRADEMARK OFFICE SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Law Offices of Patrick J.S. Inouve Individual name Signature November 11, **2**003 Date **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Mail Stop IDS, P.O. Box 1450, November 11, Alexandria, VA 22313-1450 on this date: 2003 Type or printed name Larissa V. Pigott

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Date

November 11, 2003

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Franz, et al.

Serial No.: 10/647,203

Filed: August 21, 2003

Title: System And Method For Identifying

Compounds Through Iterative

Analysis

Attorney Docket No.: 025.0362.US.UTL

Commissioner for Patents Alexandria, VA 22313-1450 Group Art Unit: Unassigned

Examiner: Unassigned

## INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Information Disclosure Statement is submitted:

<u>X</u>	under 37 CFR 1.97(b), or
	(Within three months of filing national application; or date of entry of international
	application; or before mailing date of first office action on the merits; whichever
,	occurs last)

under 37 CFR 1.97(c) together with either a:

- \_\_\_ Statement under 37 CFR 1.97(e), or
- \_\_ a \$180.00 fee under 37 CFR 1.17(p), or
- (After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)

\_\_ under 37 CFR 1.97(d) together with a:

- \_\_ Statement under 37 CFR 1.97(e), and
- \_\_\_ a \$180.00 fee set forth in 37 CFR 1.17(p).
  - (Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

X Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The relevance of the attached references is that this is the closest art of which Applicant is aware. Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

Patrick J.S. Inouye

Attorney/Agent for Applicant(s)

Reg. No. 40297

Date: November 11, 2003

Telephone No.: (206) 381-3900

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Substitute for Torm 1449A/PTO				Complete if Known		
				Application Number	10/647,203	
IN	FORMATION D	<b>ISCL</b>	OSURE	Filing Date	August 21, 2003	
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		_		Examiner Name	Unassigned	
Sheet 1 of 2		Attorney Docket Number	025.0362.US.UTL			

U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Document Number  Number - Kind Code <sup>2</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, When Relevant Passages or Relevar Figures Appear		
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	FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>			
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Examiner	Date	
Signature	Considered	

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant: Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Check mark here if English language Translation is attached. Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, PO Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Mail Stop IDS, PO Box 1450, Alexandria, VA 22313-1450.

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	T	OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS	
Examiner	C'1-	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the	.
=xaminer nitials*	Cite <sub>i</sub> No.	item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue	
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		C.D. MANNING AND H. SCHUTZE, "Foundations Of Statistical Natural Languages Processing," Ch. 5, MIT Press (1999).	
		T. DUNNING, "Accurate Methods For The Statistics Of Surprise And Coincidence," Comp. Ling., Vol. 19, No. 1, pp.61-74 (1993).	
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.